Annex US.II, page 1

PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

	ANSMITTAL LETTER TO	ATTORNEY'S DOCKET NUMBER				
	DESIGNATED/ELECTED NCERNING A SUBMISSIO	U.S. APPLICATION NO (II Known, see 37 CFR 1.5)				
	TIONAL APPLICATION NO.	PRIORITY DATE CLAIMED				
	T/AU 04/000419	INTERNATIONAL FILING DATE 30 MARCH, 2004	31 MARCH, 2003			
TITLE OF	INVENTION "A SYSTEM CLUSTER		OLTIPATH MITIGATION USING			
APPLICA	NT(S) FOR DO/EO/US					
Applican	t herewith submits to the United St	SMALL: DAV	D/US) the following items and other information:			
1. Y	This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.					
3.	This is an express request to begin nati (5), (6), (9) and (21) indicated below.	onal examination procedures (35 U.S.C. 37	1(f)). The submission must include items			
4.	The US has been elected (Article 31).					
5.	A copy of the International Application	n as filed (35 U.S.C. 371(c)(2))				
	a. is attached hereto (required	only if not communicated by the Internation	nal Bureau).			
	b. has been communicated by	the International Bureau.				
		cation was filed in the United States Receivi	· ' '			
6.	An English language translation of the	e International Application as filed (35 U.S.C	C. 371(c)(2)).			
	a. is attached hereto.					
	b. has been previously submit	ted under 35 U.S.C. 154(d)(4).				
7.	Amendments to the claims of the Inte	mational Application under PCT Article 19 (35 U.S.C. 371(c)(3))			
	a. are attached hereto (required only if not communicated by the International Bureau).					
	b. have been communicated by the International Bureau.					
	c. have not been made; however, the time limit for making such amendments has NOT expired.					
	d. Ave not been made and will not be made.					
8.	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).					
9.	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).					
10. 🔲	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).					
Items 11 to 20 below concern document(s) or information included:						
11.	An Information Disclosure Statement	under 37 CFR 1.97 and 1.98.				
12. 🔲	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.					
13. 🔲	A preliminary amendment.					
14.	An Application Data Sheet under 37 CFR 1.76.					
15.	A substitute specification.					
16. 🔲	A power of attorney and/or change of address letter.					
17. 🔲	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.					
18. 🔲	A second copy of the published International Application under 35 U.S.C. 154(d)(4).					
19.	A second copy of the English languag	e translation of the international application	under 35 U.S.C. 154(d)(4).			

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete including gathering information, preparing, and submitting the completed from to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PCT Applicant's Guide - Volume II - National Chapter - US

PTC-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

ICATION NO. (if known, see 37 CER 1.5)

INTERNATIONAL ARRIVATIONAL ARRIVATIO

U.S. APPLICATION	U.S. APPLICATION NO. (if known, see 37 CER 1.5) INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER				
20. Other its	20. Other items or information:						
The follow	ing fees have t	peen submitted		····	CALCULATIONS	PTO USE ONLY	
21. 🗹 Basic	national fee (37	CFR 1.492(a))		\$300	\$ 300		
22. Examir	ation fee (37 C	- 300	 				
If the written opinio by IPEA/US All other situations	indicates all c	\$ 200					
If the written opinio IPEA/US in Search fee (37 CFf International International Search previously of	dicates all clain R 1.445(a)(2)) h al Searching Au n Report prepar communicated to	\$ 500					
All other situations\$500							
	OTAL OF 21, 22		d in naner over 100 sheets (s	excluding	\$1,000		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.							
Total Sheets E	xtra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)		RATE			
- 100 =	/50 =			× \$250	\$ -	1	
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).					\$ <u>_</u>		
CLAIMS	- NUMB	ER FILED	NUMBER EXTRA	RATE	\$		
Total claims	2	- 20 =	٩	× \$ 50	\$ 450		
Independent claims		5 -3=	2	× \$200	\$ 400		
MULTIPLE DEPEN	DENT CLAIM(S) (if applicable)		+ \$360	\$ -		
				CALCULATIONS =	\$ 1,850		
Applicant claim	s small entity st	ced by 1/2.	- 925				
SUBTOTAL =					\$ 925		
Processing fee of \$ claimed priority date		s <u> </u>	-				
-		\$ 925					
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					\$	r	
		\$ 925					
					Amount to be refunded:	\$	
	-				Amount to be charged	\$	

10/549845

JC20 Rec'd PCT/PTO $1\ 3\ \text{SEP 2009}_{\text{US}}$

PCT Applicant's Guide - Volume II - National Chapter - US

Annex US.II, page 3

PTC-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

а. 🔲	A check in the amount of \$	to cover the above fe	ees is enclosed.			
ь. 🗆	Please charge my Deposit Account NoA duplicate copy of this sheet is enclosed.	in the amount of \$	to cover the above fees.			
c. 🗆	The Commissioner is hereby authorized to charge Account No A duplicate copy of the	e any additional fees which and sheet is enclosed.	may be required, or credit any overpayment to Deposit			
d. 🗹	Fees are to be charged to a credit card. WARNIN be included on this form. Provide credit card into	IG: Information on this form formation and authorization	may become public. Credit card information should not on PTO-2038.			
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the international Application to pending status.						
SEND A	LL CORRESPONDENCE TO:	-	SIGNATURE DAVID SMALL NAME REGISTRATION NUMBER			